NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Schedule Number: NC1-403-79-01

Some items in this schedule are either obsolete or have been superseded by new NARA approved records schedules. This information is accurate as of: <u>11/04/2022</u>

ACTIVE ITEMS

These items, unless subsequently superseded, may be used by the agency to disposition records. It is the responsibility of the user to verify the items are still active.

All other items remain active.

SUPERSEDED AND OBSOLETE ITEMS

The remaining items on this schedule may no longer be used to disposition records. They are superseded, obsolete, filing instructions, non-records, or were lined off and not approved at the time of scheduling. References to more recent schedules are provided below as a courtesy. Some items listed here may have been previously annotated on the schedule itself.

Item 10A1a was superseded by NC1-403-81-03 item 10A1.

Item 10A1b was superseded by NC1-403-81-03 item 10A1.

Item 13A was superseded by N1-403-08-002 items 13A1 and 13A2.

Item 13B was superseded by N1-403-08-002 item 13B.

Item 13C1 was superseded by N1-403-08-002 item 13C.

Item 13C1 was superseded by N1-403-08-002 item 13C.

Item 13C3a was superseded by N1-403-08-002 items 13C3.

Item 13D1a was superseded by N1-403-08-002 item 13D.

Item 13D1b was superseded by N1-403-08-002 item 13D.

Item 13D2a was superseded by N1-403-08-002 item 13D.

Item 14A1 was superseded by NC1-403-81-03 item 14A.

Item 14A2 was superseded by NC1-403-81-03 item 14A.

Item 14C4 was superseded by NC1-403-81-03 item 14C4b.

Item 14C5a2 was superseded by NC1-403-81-03 item 14C5a2.

Item 14C5a3 was superseded by NC1-403-81-03 item 14C5a3.

Item 14C5b was superseded by NC1-403-81-03 item 14C5b.

Item 14C5b2 was superseded by NC1-403-81-03 item 14C5b2.

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

As of 11/04/2022 NC1-403-79-01

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

Item 14C6a was superseded by NC1-403-82-01 item 14C6a.

Item 14G1a was superseded by NC1-403-81-03 item 14G1.

Item 14G1b was superseded by NC1-403-81-03 item 14G1.

Item 15A2a was superseded by NC1-403-81-03 item 15A2a.

Section 15B (all items) was superseded by NC1-403-81-03 Section 15B (all items).

Section 15D (all items) was superseded by NC1-403-81-03 Section 15D (all items).

NOTICE - SOME ITEMS SUPERSEDED OR OBSOLETE

As of 11/04/2022 NC1-403-79-01

REQUEST **AUTHORITY** TO DISPOSE OF RECORDS

(See Instructions on Reverse)

NATIONAL ARCHIVES AND RECORDS SERVICE, WASHINGTON, D.C. 20408

Equal Employment Opportunity Commission

TO: GENERAL SERVICES ADMINISTRATION,

Headquarters and Field Offices

4. NAME OF PERSON WITH, WHOM TO CONFER

1. FROM (AGENCY OR ESTABLISHMENT)

2. MAJOR SUBDIVISION

3. MINOR SUBDIVISION

LEAVE	BLANK		
DATE RECEIVED	JOB NO.		
12 APR 1979	NCI-403-79-1		
NOTIFICATIO	N TO AGENCY		
In accordance with the provisions of 44 U.S.C. 3303a the disposal request, including amendments, is approved except for items that may be stamped "disposal not approved" or "withdrawn" in column 10.			

ACTINGArchivist of the United State 6. CERTIFICATE OF AGENCY REPRESENTATIVE: I hereby certify that I am authorized to act for this agency in matters pertaining to the disposal of the agency's records; that the records proposed for disposal in this Request of .

5. TEL. EXT.

Request for disposal after a specified period of time or request for permanent retention

page(s) are not now needed for the business of this agency or will not be needed after the retention periods specified.

Director, Organization and Standards Division Office of Administration

(Signature of Agency Representative) (Title) 8. DESCRIPTION OF ITEM 10. SAMPLE OR ITEM NO. (With Inclusive Dates or Retention Periods) ACTION TAKEN JOB NO. NC- 403- 76-NC-403-75-1. This comprehensive records disposition schedule supersedes NN-171-166. NN-172-41. all previously approved records schedules of the Equal NN-172-B. Employment Opportunity Commission. Records covered by NN-172-4 * the GSA General Records Schedules are cited in the NC-403-76-5. schedule. NC1403-76-6. NC1403-77-1. Any record series created subsequent to, and/or not NC1403-78-2. disposable by, this schedule will be covered by All items supplementary records disposition authority to be EEOC obtained from the National Archives and Records Service. printed RCS

N NF, NNV, NNH, NNR, NNB, Orgences, JE

190 items STANDARD FORM 115 Revised November 1970 Prescribed by General Services

Administration FPMR (41 CFR) 101-11.4 115-105

			PAGE OF 50
Request f	or Records Disposition Authority—Continuation). 	2 .
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	EEOC COMPREHENSIVE RECORDS CONTROL SCHEDULES Table of Contents		
1.	Personnel Records		
	A. Personnel Administration B. EEO Program (Internal)		
2.	Payrolling and Pay Administration		
3.	Budget and Finance Records		
	A. Budget Policy B. Budget Estimates and Justification C. Other Budget Records D. Accountable Officers' Accounts E: Expenditure Accounting Records F. Stores, Plant, and Cost Accounting Records		
4.	Supplies and Equipment Records		
	A. Procurement and Supply Management B. Property Disposal Records		
5.	Travel and Transportation Records		
6.	Motor Vehicle Maintenance and Operations Records		
7.	Space and Maintenance Records		
8.	Communications Records		
9.	Printing and Duplicating Records		
10.	Publicity and Information Records		
	A. Information Files B. Information Subject Files C. Information Project Files D. Press Service Files E. Freedom of Information Act (FOI) Records F. Privacy Act Records G. Audio-Visual Records		

Request	or Records Disposition Authority—Continuation	JOB NO	,	PAGE OF 3 50
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
11.	Administrative Management Records			
	A. Directive Case Files B. Records Disposition Files C. Forms Files D. Management Improvement Reports E. Records Holdings Files F. Project Control Files G. Reports Control Files H. Working Papers I. Records Management Files J. Committee and Conference Records			
	K. Organizational and Functional Records			
12.	Program Planning and Control Records			
	A. Program Performance and Review Files B. Research and Survey Files C. Information (ADP) Systems Files			
13.	Commission Records (Commissioners)			
	A. General Correspondence B. Chairman's Chronological Files C. Commission Meetings D. Commission Decisions Files			
14.	Compliance Records			
	A. Plans and Policy Files B. Field Operations Review Files C. Charges D. State and Local Programs E. Discrimination Techniques Files F. Special Projects and Programs G. Government Employment Programs			
15.	Legal and Legislative Records			
	A. Legal Files B. Litigation Files C. Legislative Files D. Congressional/Federal Agency Files			
	·			

Request f	or Records Disposition Authority – Continuation	J9B NO.	PAGE OF 4 . 50
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OF JOB NO.	10. ACTION TAKEN
	PERMANENT RECORDS		
10.	Publicity and Information Records		
	A. Information Files (1) Press Advisories/News Releases (2) Speech File (3) Publications (Non-GPO Printed) G. Audiovisual Records D. Press Service File (1) Media Morge		
11.	Administrative Management Records	4 10/19	
	A. Directives Case Files C. Forms Control Records (1) Compliance Process Forms J. Committee and Conference Records (1)&(2) Interagency Committees K. Organizational and Functional Records		
12.	Program Planning and Control Records B. Research and Survey Files C. Information (ADP) Systems (I) Employer Information (3) Complaint Statistical Reporting System (CSF)	n Reports (RS) THE SUPPLY SUP	
13.	Commission Records	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
	B. Chairman's Chronological Files C. Commission Meetings D. Commission Decisions Files (1) Precedent Decisions		
14.	Compliance Records		
	A. Plans and Policy Charges (5) Cause Files Landmark Cases		
15.	Legal and Legislative Records		
	A. Legal (2) Opinions and Interpretations B. Litigation Files (Landmark Cases)		
	•		

Request	or Records Disposition Authority – Continuation	JOB NO.		PAGE OF 50
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
1.	PERSONNEL RECORDS. Material pertaining to all phase internal personnel administration, including the intequal Employment Opportunity Records.			
	A. PERSONNEL ADMINISTRATION FILES.			
	(1) Record Copy (Program Office)			
	Use GRS 1, Items 1b to 25, and 27 to 32			
	(2) All Other Copies.			
	Destroy on an annual basis. (Internal) B. EEO PROGRAM RECORDS.			
	(1) Record Copy. (Program Office)			
	Use GRS 1, Item 26			3
	(2) All Other Copies.			
	Destroy on an annual basis.			
2.	PAYROLLING AND PAY ADMINISTRATION. Records pertains to disbursement to civilian employees of the Government for personal services, including records incidental the payrolling processes; i.e. withholding tax and savings bonds records, reports made on income tax are retirement transactions, and other records not pertato individuals, but rather to the general administration of the payrolling office and function.	ment to nd aining		•
	A. Record Copy. (Program Office)			
	Use GRS 2, Items 1 to 24			
	B. All Other Copies.			
	Destroy on an annual basis.			
3.	BUDGET AND FINANCE RECORDS. Records include various accumulated in the course of formulating budget for submission to OMB and the Congress, which include repertaining to budget preparation, presentation and apportionment; and files related to internal fiscal transactions.			
115_203	Four copies including original to be submitted to the National As	chivae	STANDARD	FORM 115 A

Request	for R	ecord	s Disposition Authority – Continuation	JOB NO.		PAGE 6	0F 50
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods) 9. SAMPLE OR JOB NO.						10. N TAKEN
	A.	of t the budg	GET POLICY FILES. Correspondence or subject the formally organized budget office documer Commission's policy and procedures governing get administration, and reflecting policy de ecting expenditures for EEOC programs.	nting ng			
		(1)	Record Copy. (Program Office)				
			Transfer to the FRC five (5) years from the fiscal year to which the records relate Destroy ten years from the end of the fiscal to which the records relate. (GRS 5-1)	e.			
		(2)	All Other Copies.				
			Destroy on a fiscal year basis or when no neeed for reference.	longer			
	В.	budg soli appı	GET ESTIMATES AND JUSTIFICATION FILES. Copinget estimates and justifications prepared or idated at the Headquarters budget office, in copriation language sheets, narrative statementated schedules and data.	con- cluding			
		(1)	Record Copy. (Program Office)				
			Transfer to FRC five (5) years from the enthe fiscal year to which the records related Destroy ten (10) years from the end of the fiscal year to which the records relate. (GRS 5-2)	e.			
		(2)	All Other Copies.				
			Destroy one (1) year after the close of the fiscal year covered by the budget.	ne			
	c.	back	ER BUDGET RECORDS. General correspondence is ground records, reports and related budget specifically identified elsewhere in this s	matters			
		(1)	Record Copy. (Program Office)				
			Use GRS 5, Items 3 to 6.				
		(2)	All Other Copies.				
			Destroy on a fiscal year basis.				

Request	for R	ecords	s Disposition Authority – Continuation	JOB NO.		PAGE OF 7 50
7. ITEM NO.			8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	D.	of a avai reco	UNTABLE OFFICERS' ACCOUNTS RECORDS. Record 11 records concerned with the accounting fo lability, and status of public funds, inclurds held for on-site audit by the General unting Office.	r		
		(1)	Record Copy. (Program Office)			
		(2)	Use GRS 6, Items 1 to 9			
		(2)	All Other Copies. Destroy when one (1) year old.			
	E.	docu sour expe appr	MDITURE ACCOUNTING RECORDS. Ledgers and rements maintained to show in summary form the ce and nature of receipts, and the manner conditures of funds, appropriated and non-copriated after allotment by the Office of gement and Budget.	.e		
		(1)	Record Copy. (Program Office)			
			Use GRS 7, Items 1 to 4			
		(2)	All Other Copies.			
			Destroy when one (1) year old.			
	F.	peri stoc pape pers of m reco phys capi indi	es, PLANT, AND COST ACCOUNTING RECORDS. Recodically reconciled with supply data reflect inventory records, but are not procurements. Files, include records maintained to promate accountability for the receipt and customaterials, including their monetary worth; to the principal characteristics of each it sical plan and equipment as source of data of the transfer of the production, administration, a formance of program functions.	ted in t rovide tody o em of the ect and		
		(1)	Record Copy. (Program Office)			
			Use GRS ⁻ 8, Items 1 to 8			
		(2)	All Other Copies.			
			Destroy when one (1) year old.			

Request	or Records Disposition Authority – Continuation	JOB NO.		PAGE OF 8 50
, 7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
4.	SUPPLIES AND EQUIPMENT RECORDS. Records pertain the procurement, storage, utilization, account and disposal of furniture, office supplies and and similar materials used by/for EEOC.	bility, equipment,		
÷	A. PROCUREMENT AND SUPPLY MANAGEMENT FILES. Re documenting the acquisition of goods and no services, controlling the volume of stock o reporting procurement needs and related sup which are part of daily procurement operati	n-personal n hand, ply matters		
•	(1) Record Copy. (Program Office) Use GRS 3, Items 1 to 13 (2) All Other Copies.			
	Destroy when one (1) year old.	: 		
	B. PROPERTY DISPOSAL RECORDS. Records pertain sale, donation and transfer of personal prosurplus to the needs of the Commission. (1) Record Copy. (Program Office)			
	Use GRS 4, Items 1 to 7			
	(2) All Other Copies. Destroy when one (1) year old.			
5.	TRAVEL AND TRANSPORTATION RECORDS. Records per the shipment and routing of equipment, material supplies for the use of EEOC, and material cove subsistence and travel of individuals. For recomporting payments as part of the accountable accounts, or which are accounting posting media 3D and E of this schedule for appropriate dispose	, and ring the ords officers', see items		
٠	A. Record Copy. (Program Office)			
	Use GRS 9, Items 1 to 5			
	B. All Other Copies. Destroy when one (1) year old.			
115-203	Four copies, including original, to be submitted to the Na	tional Archives	STANDARI	D FORM 115-A

Request f	or Records Disposition Authority—Continuation	on JOs	5 NO.	PAGE OF 10 50
7. ITEM NO	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
9.	PRINTING AND DUPLICATING RECORDS. Maprinting, binding, duplicating, and includes requisitions, methods and p (For files relating to requisitions or records relating to services obta Commission, see General Records Record and 8.) A. Record Copy. (Program Office)	distribution, which rocedures, and repo on the Public Print lined outside of the	orts. ter e	
	Use GRS 13, Items 2 to 7			
	B. All Other Copies.			
	Destroy when one (1) year old.			
10.	PUBLICITY AND INFORMATION RECORDS. information services performed in dain relating to the general public, i promote and document EEOC public aff records created in administering Freand Privacy Act programs.	y-to-day affairs an ncluding records to airs activities, an	o nd	
	A. <u>INFORMATION FILES</u>			
	(1) Press Advisories/News Release chronologically by date.) releases announcing to the the Commission's activities VII of the Civil Rights Act (a) Record Copy. (Program PERMANENT. Break file to Federal Records Cenold. Offer to NARS wh (GRS 14, Item 1) (An. (b) All Other Copies, included material. Destroy on site when colonger needed for reference.	Formal informations public and news med as provided under of 1964, as amended office) es annually. Transfer ter when two (2) years ten (10) years of Vol2 cu. ft.) uding background	dia Title ed. CRS 14/1; NC-403-76-3 Elem 1 (with	ensun) or blocks. or mb or plant
115-203	Four copies, including original, to be s	ubmitted to the National Archive	es STANDARD	FORM 115-A

equest for	Records Disp	osition Authorit	y – Continuation	Jo	B NO.		PAGE (
7. ITEM NO.			SCRIPTION OF ITEM e Dates or Retention Periods)		9. SAMPLE OR JOB NO.		10. N TAKE
	and offi of t on s	thereunder all cial.) Copie the Commission significant sumission's prog		name of iven by the he ranking offici with the	ad		-	-
	(a)	PERMANENT. to Federal I	. (Program Office Break files anno Records Center wh to NARS when ten em 1) (An. Vol. (ually. Transf hen two (2) ye n (10) years o	ars		er-	B
	(b)	All Other Co	opies, including d speeches of le	background ss importance.		Dus 19	BM 9/1	0/19
			n one (1) year o ed for reference		1		,	
					,			
		•						

Request for Recor	ds Disposition Authority—Continuation	JOB NO.		PAGE OF 50
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
(Publications Files (Arranged alphabetical1 publication.) Copies of publications crea by or on behalf of the Commission that con to an understanding of the organization, functions, policies, and procedures of the Commission. The disposition applies to co of these records wherever located in the Commission. Included herein are:	ted tribute	GRS 13/la and 1b	
	(a) Annual Reports such as the Equal Emplo Opportunity Commission Annual Report, EEO Report on Job Patterns for Minorit and Women in Private Industry;	a nd ies		
	(b) One-Time reports and studies of partic policy-making significance;	ular		
	(c) Technical publications that address variance aspects of the mission of the Commissi Examples are the Research Reports series such as the State and Local Government Functional Profile Series, Minorities Women in State and Local Government, a Employment Status of Spanish Surnamed Americans; and	on. es, and		
	(d) General Interest publications, which a copies of a large number of items creator on behalf of the Commission for the tion of the public about the Commission activities and aims. Examples of such publications are EEOC at a Glance/ EEO Vista, (Spanish and English versions). Discrimination Laws and Rules You Know, Affirmative Action and Equal Employers, and the newsletter.	e educa- on's OC a 1a Job Should	t	
	Desirations printed by the Government Printing Office (GFO). Examples are included in the majority of the title listed under 10.A (3) above. A copposed is automatically provided by GINARS.	e Le s	•	
	All Copies. Review annually. Destroy on site with the service of supercoded, obsolute, or a longer circulated.			

14 50

7. ITEM NO

8 DESCRIPTION OF ITEM With Inclusive Dates or Retention Periods)

9. SAMPLE OR JOB NO

10. ACTION TAKE!

D. PRESS SERVICE FILES. (Arranged alphabetically and ehronologically) Glip morgue of original elippings from major news publishers or media (NY Times, Washington Post, National Journal, Federal Times, etc.). These clippings are distributed daily by the Office of Public Affairs. The clippings relate to EFOC and its mission, unions, employment, fair employment legislation, etc.

All Copies.

Destroy when one (1) year old.

(1) <u>Media Morgue</u>. (Arranged by subject and thereunder chronologically) Original clippings from major news publishers or media (MY Times, Mashington Post, National Journal, Federal Times, etc.), trade journals, and regional and minority press, relating to EEOC and its mission (Ann. Vol. 9 cu. ft.).

PERIARIT. Offer to MARS when four years old.

(2) Clipboard. (Arranged chronologically) Daily or weekly compilation of news clippings relating to EEOC and its mission, selected and distributed to EEOC offices by the Office of Public Affairs.

Destroy when one (1) year old.

Request for	Records Disposition Authority - Continuation	JOB NO.		PAGE OF 50
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
Е	FREEDOM OF INFORMATION ACT (FOIA) RECORDS. Record consist of inquiries, replies, reports, appeal case in carrying out the provisions of the Freedom of Information Act.	-		
	(1) Record Copy (Program Office)			
	Use General Records Schedule 14, Items 16 to 2	20		
	(2) All Other Copies.			
	Destroy when no longer needed for reference.			
F	PRIVACY ACT RECORDS. Records consist of inquiries replies, reports, appeal cases, and related correspondence in carrying out the provisions of the Privacy Act.	s,		
	(1) Record Copy (Program Office)			
	Use General Records Schedule 14, Items 25 to	30		
	(2) All Other Copies.			
	Destroy when no longer needed for reference.			
G	AUDIOVISUAL RECORDS. Covers records used to prome and document EEOC programs, which include still pictures, motion pictures, sound recordings, video recordings, and related documentation used for or necessary to the proper identification and retrieve or bearing on the origin, acquisition, use, and ownership of the records. (For additional audiovis records not specifically identified below, refer General Records Schedule (GRS) 21.)	o val, sual	NC-403- 76-2, Item 3 (with- drawn)	
	(1) Still Pictures (Arranged by title) Records in photographs, slide sets, filmstrips, posters, original artworks, and other pictorial records		GRS QI/ la-d	
	(a) Photographs of Commissioners, Chairpeople other key EEOC personalities; other photog which document the organization, functions policies, and procedures, and essential tractions of the Commission.	graphs s,		
115 202	Four copies including original to be submitted to the National Arc			FODM 115_A

Request fo	or Records Disposition Authority – Continuation	JOB NO.	PAGE OF 16 50
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE JOB N	OR 10.
	Black and white photographs. The origin negative and a captioned print. (An. Vo. 375 cu. ft 1971 to present) PERMANENT. Break file every 5 years. Of to NARS when 10 years old or when no located to the content of	ffer	
	needed for administrative purposes, whi ever occurs first. 2 Color photography. The original color transparency or color negative, a caption print, and an internegative, if available	oned	
	(An. Vol375 cu. ft 1971 to present PERMANENT. Break file every 5 years. On to NARS when no longer needed for adminitive use or when 10 years old, whichever occurs first.	nt) ffer istra-	
	(b) Slide/tape shows, such as "Destroying the created by EEOC to document its history or significant events. (An. Vol375 cu. ft. PERMANENT. Offer slide set and accompanyi audio recording to NARS when 10 years old) .ng	9110 179 B 1 100
	when no longer needed for administrative p whichever occurs first. (c) Photographs included as part of a project case file, report, or similar record.	ourposes,	Dir 9/18/19
	Dispose of in accordance with the disposit instructions for the records of which they a part.		
	(d) Additional duplicate prints or negatives of photographs that have limited administration or interest and are transitory in nature; photographs of low-level administrative st functions and ceremonial activities, such award presentations and commendations.	ve use and aff	
	Destroy in agency when no longer needed fo administrative purposes, in accordance wit FPMR 101-42.303-1. (GRS 21, Item 1c)		
115-203	Four copies, including original, to be submitted to the National Ar		DARD FORM 115-A

Request for Records Disposition Authority—Continuation	JOB NO. PAGE, OF 17 50
7. ITEM NO. 8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO. ACTION TAKEN
(2) Sound Recordings. (Arranged by title) (See It 13C(3) for tape recordings of Commission meet	
(a) Sound recordings of significant speeches, press conferences, committee meetings, and Congressional testimony of the EEOC Chair The master tape, matrix or stamper, and disc pressing of conventional, mass-productional multiple copy disc recording; and the oritage or earliest generation of magnetic at tape recordings (reel-to-reel, cassette, cartridge. (An. Vol. 2 tapes)	nd rman. one nced, iginal audio
PERMANENT. Break files every 5 years. Off to NARS when no longer needed for administive use, or when 5 years old, whichever sooner.	stra-
(b) Public service announcements of television and radio advertisements; and announcement promoting the Commission's programs and activities. (An. Vol. 2 tapes)	l .
PERMANENT. Offer to NARS when five (5) years old or when no longer needed for administrative use, whichever is sooner.	
(c) Sound recordings which have limited admin strative use or interest only; e.g., inte training, orientations, mock litigation actions, and routine Commission activities	ernal
Destroy in agency when no longer needed fadministrative use. (GRS 21, Item 3c)	for
(3) <u>Video Recordings</u> . (Arranged by title) (a) Video-Recordings of significant events and documentation of the Commission, such as Chair Norton's Congressional Testimony, a Chair Norton's press briefing on the Commission's issuance of uniform guidelines approved by four Federal Government Agence on employment discrimination. (Vol 2 to	and - cies
Four copies, including original, to be submitted to the National Archiv	ves STANDARD FORM 115-A

Request for F	Records Disposition Authority – Continuation		PAGE OF
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	18 50 10. ACTION TAKEN
	PERMANENT. Offer video-recordings and accompanying audio recordings when five (5) years old or when no longer needed for admistration purposes, whichever occurs first.	Pur 9(10	17 nb 9/18/19
	(b) Video recordings which have limited admistrative use or interest, and subject matter that is transitory or of local interest only e.g., internal training, orientations, mock litigation actions, and routine Commission activities.		
	Destroy in agency when no longer needed for administrative use. (c) Public Service Announcements. (Arranged by title) Television advertisements and announcements by Hollywood actors or public officials promoting the Commission's program and activities.	ns	7
	PERMANENT. Offer video-recordings end accompanying audio recordings when five (5) years old or when no longer needed for administrative use, whichever occurs first.	coc al	\$ 91.0179 \$ 91.0179
	(4) Motion Pictures. (Arranged by title)(a) Films documenting significant Commission furtions, policies, and procedures. For example		
	"Voice of La Raza." Film on the problems facing the Spanish-speaking community of the U.S. in its efforts to overcome the ravages of job discrimination. (16 mm color, 54 mins.)	3	
	2 "Power vs. The People." Film recording the hearing conducted by EEOC involving large national corporations on their hiring and promotion practices, which violate Title VII of the Civil Rights Act. (1970, 16 mm color, 58 mins.)		
	"Struggle for Los Trabajos." Film deals with EEOC's investigation and concili- ation process of a violation of the righ of a Mexican-American white collar worke (16 mm color, 58 mins.)		
115-203	Four copies, including original, to be submitted to the National Archives	STANDARD	FORM 115-A

Request	for Records Disposition Authority—Continuation	JOB NO.		PAGE OF
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	4 "EEOC." Film narrated by actress Ru on the machinery of the EEOC and how serves both the minority community a women. (16 mm color, 41 mins.)	it		
	enginal regative or	color	original D	ns separate
	PERMANENT. Uffer time and accompan	ying		und track;
	years old or when no longer needed f		interma	liate maskr
	administrative purposes, whichever o			r deplicati
	first.			plus optical
	(h) Matrice of thomas which have limited also	٠ ٠		eck; and sou
	(b) Motion pictures which have limited adm strative use or "interest, and subject		projection	n print
	that is transitory or of local interes			1 10
	e.g. internal training, orientations, routine Commission activities.	•		D m 1/19
	Destroy when no longer needed for admitive use in accordance with FPMR 101-4			110/79
	(5) Finding Aids and Production Documentation fitems identified in 10.G (Arranged by title Audiovisual records which include finding a such as data sheets, shot lists, catalogs, indexes, and other textual documentation ne for the proper identification, retrieval, a of the audiovisual records as well as, prod case files or similar files which include c of production contracts, scripts, transcrip or other documentation bearing on the originacquisition, release or ownership of the au visual production.	s) ids cessary nd use uction opies ts, n,	GRS AIS	
	Dispose of in accordance with instructions covering the related audiovisual records in Item 10.G (1), (2), (3), and (4).			
11.	ADMINISTRATIVE MANAGEMENT RECORDS. Records relating administrative management activities in the Commissi Files include material created in the course of orgazational planning, development and simplification of procedures, records management activities, and admintion of management improvement programs. This sched applies to records wherever located in the Commission	on. ni- istra- ule		
115-203	Four copies, including original, to be submitted to the National Ar	chives	STANDARD	FORM 115-A

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Request	or Records Disposition Author	rity – Continuation	JOB NO.	PAGE OF 20 50
7. ITEM NO.		PESCRIPTION OF ITEM ive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	each internal direct level together with	6. (Arranged numerically) Copy live issued at the headquarter supporting documents, and cained by office responsible f	for	
	(1) Record Copy. (Pr	ogram Office)	GRS 16/16	4
	the Commission's functions, polic subject matters. in the General M Program Series (Series (700), Vo	each directive issued document regulations, organization, y, authority, and other impor For example, directives iss lanagement Series (100), Manag 200), Legal Series (600), Res luntary Programs (800) Series lity Affairs (850) Series and es (900). (An. Vol375 cu.	tant sued gement search	w 179
	five (5) years a	ocks.	on.	8 mb 1 9/10/79
	Duplicate copies case files of di	, working papers, and support rectives.	ing	
	Destroy when direction or when no longer purposes.	ective is obsolete, supersede r needed for administrative	d,	
	disposal authorization include Standard Form Disposition Authority	FILES. Descriptive inventorions, schedules and reports, wo make 115, Request for Records y; Standard Form 135, Records ipt and related documentation	hich	
	(1) Record Copy. (Pro	ogram Office)	GRS 16/3a	
	destroyed, or whe	when related records are en no longer needed for admingrence purposes. (GRS 16, Item	i- 3a.)	
	(2) All Other Copies, and memoranda.	, including routine correspond	dence, GRS 16/31	
	Destroy when no 1 (GRS 16, Item 3b.	longer needed for reference.		
115-203	Four copies, inclu	uding original, to be submitted to the National Arc	hives STANDARD	FORM 115-A

Request f	or Re	ecords Disposition Authority – Continuation). ·	PAGE OF 21 50
7. ITEM NO.		8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	SAMPLE OR JOB NO.	10. ACTION TAKEN
	c.	FORMS CONTROL RECORDS. (Arranged numerically by category.)		
		(1) Record copy of each form created in the Commission which relates to the compliance process, e.g. EEOC 5 "Charge of Discrimination", EEOC 131, "Notice of Charge of Employment Discrimination", EEOC 150, "Receipt for Copy of Charge of Discrimination", which are controlled and maintained by office responsible for forms management.	GRS 16/4	
	•	PERMANENT. Transfer to inactive file when superseded or obsolete. Transfer to Federal Records Center five (5) years after file becomes inactive. Offer to NARS 15 years after file becomes inactive, (An. Vol375 cu. ft.) (2) Record copy of each form created in the Commission for administrative purposes and controlled and maintained by office responsible for forms management.	n GRS 16/4	Dur 9/10/79 8/10/79
		Transfer to inactive file when superseded or obsolete. Destroy five (5) years after file becomes inactive.(3) All other copies, including working papers, background materials, requisitions, specifications, processing data, control records, and copies maintained by other EEOC activities.	GRS 16/46	
	D.	Destroy when related form is discontinued, super- seded, or cancelled. MANAGEMENT IMPROVEMENT REPORTS. (Arranged by subject. Reports submitted to the Office of Management and Budget and related plans, analyses and feeder reports (1) Record Copy. (Program Office) Break files annually. Destroy three (3) years after break or sooner if no longer needed for reference. (2) All Other Copies.)	
		Break files annually. Destroy one (1) year after break.		
115-203		Four copies, including original, to be submitted to the National Archives	STANDARD	FORM 115-A

Request 1	for Re	ecords Disposition Authority – Continuation	JOB NO.		PAGE OF 22 50
7.		B. DESCRIPTION OF ITEM		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	Е.	RECORDS HOLDINGS FILES. Statistical Reports of Commission's records holdings required by the General Services Administration, including feeder report all offices and data on the volume of records did of by destruction or transfer. (GRS 16, Item 6)	eneral s from		
		(1) Record Copy. (Program Office)			
		Destroy when three (3) years old. (2) All Other Copies.			
		Destroy when one (1) year old.			
	F.	PROJECT CONTROL FILES. (Arranged alphabetically subject.) Memoranda, reports, and other records menting assignments, progress and completion of projects.		GRS 16/7	
		All Copies.			
		Destroy one (1) year after the year in which the project is closed. (GRS 16, Item 7)	2		
	G.	REPORTS CONTROL FILES. (Arranged numerically) Cafiles on reports created, cancelled, or supersed and containing evidence of their existence and/otheir discontinuance. These files relate to report which there are formal requirements; they are to files accumulated in the reports and not to the reports themselves.	led, or oorts oply	GRS 16/8	
•		(1) Record Copy. (Program Office)			
		Destroy two (2) years after the report is discontinued.			
		(2) All Other Copies.			
		Destroy when no longer needed for reference.			
	н.	WORKING PAPERS. Project background records such studies, analyses, notes, drafts, and interim re		GRS 16/10	
		All Copies.			
		Destroy six (6) months after final action on proreport or three (3) years after completion of reif no final action is taken.	ject		
115-203		Four copies, including original, to be submitted to the National Ar	chives	STANDARD	FORM 115-A

Request f	or Records Disposition Authority – Continuation	JOB NO.	•	PAGE OF 23 50
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	s	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	I. RECORDS MANAGEMENT FILES. (Arranged alphabetical subject) Reports, correspondence, authorization, techniques and related records concerning the dement and improvement of the management of record the Commission. Includes the management of file forms, correspondence, mail, reports, microfilm, automatic data processing, vital records, and records not covered elsewhere in this schedule.	velop- s in s,		
	(1) Record Copy. (Program Office)	G	RS 16/11	
	Destroy when six (6) years old. (GRS 16, Item	m 11)		
	(2) All Other Copies.			
	Destroy when no longer needed for reference.			
	J. <u>COMMITTEE AND CONFERENCE RECORDS</u> . (Arranged alphabetically by subject.) (See Item 13, B for Committee Meetings.)			
	(1) Creation.		,	
	Records relating to establishment, organizate membership and policy.	ion,	RS 16/	
	(a) Interagency, advisory or international committees for which Commission gives administrative support, e.g., the Commiss Coordinating Committee for Interagency Ma			
	1 Record Copy. (Program Office)		•	
	PERMANENT. Break files annually. Tranto Federal Records Center when five (5) years old. Offer to NARS when twenty years old, (Vol5 cu. ft.) in five (5) year blocks.)		pur 9/10/79
	2 All Other Copies.			the 1
	Destroy when one (1) year old or when needed for reference.	no		
	(b) All other committees, including internal committees.	GI	RS 16/ 2 a(2)	
		·		
115-203	Four copies, including original, to be submitted to the National Arc	hives	STANDARD	FORM 115-A

Request for	Records Disposition Authority—Continuation	JOB NO.		PAGE OF
7. ITEM NO.	B. DESCRIPTION OF ITEM		9. AMPLE OR JOB NO.	10. ACTION TAKEN
7.	8. DESCRIPTION OF ITEM (With inclusive Dates or Retention Periods) 1 Record Copy. (Program Office) Destroy files on site two (2) years termination of committee. (GRS 16, I 2 All Other Copies. Destroy when one (1) year old, or what longer needed. (2) Documentation. (Arranged alphabetically by ject) Records created by committees, included agenda, minutes, final reports, and relate records documenting the accomplishments of official boards and committees. (a) Interagency, advisory or international mittee records relating to Item J (1) (above. 1 Record Copy. (Program Office) PERMANENT. Break files annually. The fer to Federal Records Center when for the federal Records Center when for the federal Records Center when the following parts old. Offer to NARS when the copies of the federal Copies.	after Item 12a)(: nen no sub- luding ed com- (a) GR	AMPLE OR JOB NO.	
	Destroy when three (3) years old or no longer needed for reference. (GRS Item 12 b.)	when 16,		11. 1
	(b) All other committee records. 1 Record Copy. (Program Office)	li i	5 16/ b(a)	
	Destroy when three (3) years old or no longer needed for reference. (GRS Item 12b(2))			
	2 All Other Copies.			
	Destroy when one (1) year old or when longer needed for reference, whicheve occurs first.	n no er		
115-203	Four copies, including original, to be submitted to the National Arc	hives	STANDARD	FORM 115-A

Request f	for Records Disposition Authority – Continuation	,	PAGE OF 25 50
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
12.	K. ORGANIZATIONAL AND FUNCTIONAL RECORDS alphabetically by subject) Official organizational charts, delegations of authority and special reports and studies that document the origin, administrative development and past and present organizational structure of the Commission. (GRS 16, Item 13a) (1) Record Copy. (Program Office) PERMANENT. Break files annually. Transfer to Federal Records Center four (4) years after file break. Offer to NARS twenty (20) years after scheduled transfer, (An. Vol375 cu. ft.) (2) All Other Copies. Destroy when superseded or obsolete. PROGRAM PLANNING AND CONTROL FILES. (Arranged alphabetically by subject.) Documents developed and implemented on the Commission's program planning and control processes; wich include management accountability systems, information (ADP) systems, and other related documents supporting the Commission's program plans and strategies. A. PROGRAM PERFORMANCE AND REVIEW FILES. (Arranged alphabetically by subject.) Files consist of documents supporting the program performance goals identified in the comprehensive management improvement program to be achieved within a specific time frame, which include costs associated with implementation of program plans, analysis of the factors relating to resource expenditures, and program deficiencies and accomplishments. For example, Performance Management System files, and Fiscal Year Review Files. (See Item 11 D for management improvement reports submitted to the Office of Management and Budget.) (1) Record Copy. (Program Office) Break files at close of fiscal year. Destroy files three (3) years after file break.	GRS 16/13a	Dur 9/10/79 Dist 9/10/79
115-203	Four copies, including original, to be submitted to the National Archives	074454	FORM 115-A

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7.	B CASTALTION OF ITEM	9. SAMPLE OR	10. ACTION TAKEN
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	la company and the company and		
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(2) All Other Copies

Destroy when one (1) year old or when no longer needed for reference, whichever is sooner.

- RESEARCH AND SURVEY FILES. (Arranged alphabetically by subject.) Material pertaining to the receipt, analysis and distribution of statistical reports from employers, local unions, and joint labor-management apprenticeship committees throughout the U.S. on employment trends or patterns; survey contracts and other related surveys to support the Commission's programs and operations. (See Item 10.A(3) for publication of Reports.)
 - (1) Employer Information Reports.
 - (a) EEO-1, Employer Information Report. This report includes information regarding Private Industry work force as required by Title VII. The data includes number of employees, race, sex, job occupation, number of persons included in each category.
 - 1 Hard Copy. Microfilm upon receipt of report. Destroy hard copy of report when microfilm is verified.
 - 2 Microfilm. Destroy when ten (10) years old or when no longer needed for reference, whichever is later.
 - 3 Non-microfilmed Reports. Transfer reports to Federal Records Center upon compilation of data. Destroy 10 full calendar years after due date (e.g., reports due March 15, 1979 would be destroyed January 1, 1990).
 - 4 ADP Tape PERMANENT. Transfer entire file to NARS and update annually as stipulated in prior agreements between NARS and EEOC.

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Request for Reg	cords Disposition Authority – Continuation	JOB NO.		PAGE OF
7.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	27 50 10. ACTION TAKEN
	(b) EEO-2 Apprenticeship Information Report (Joint Labor-Management Apprenticeship Committees). This report includes in tion regarding Apprenticeship Program force as required by Title VII. The includes number of employees, race, soccupation, number of persons include each category.	ip nforma- n work data sex, job	NC-403-75- 14-2 (white NC40376-5 item 2 (EEOG-4, item-2)	ώn);
	1 Record Copy.		RCS 4/2/	
	Transfer to Federal Records Center compilation of data. Destroy 10 for calendar years after due date (e.greports due March 15, 1979 would be destroyed January 1, 1990).	111 3.,		
•	2 ADP Tape. PERMANENT. Transfer entifile to NARS and update annually as lated in prior agreements between I the EEOC.	s s tipu-	Mc-403-75-1,1	Rom 3 (withdrawn) ;
	(c) EEO-2-E, Apprenticeship Information I (Employer-Operated Apprenticeship Pro This report includes information regardapprenticeship Program work force as by Title VII. The data includes number employees, race, sex, job occupation of persons included in each category.	ograms.) arding required per of number	NC40376-5 Item 3 (EEOC 4,	
	1 Record Copy.		RCS 4/3/	
	Transfer to Federal Records Center compilation of data. Destroy 10 cayears after due date (e.g., reports March 15, 1979 would be destroyed 1, 1990).	alendar s due		
	 2 ADP Tape. PERMANENT. Transfer ent to NARS and update annually as stip in prior agreements between NARS an EEOC. 	pulated		
	(d) EEO-3, Local Union Report. This repoincludes information required by Tit. The data includes number of employees sex, job occupation, number of person included in each category.	le VII. s, race,	MC-403-75-7, MC40376-5 item 4 (EEOC-4, item-4)	There,
115-203	Four copies, including original, to be submitted to the National	I Archives	STANDARI	D FORM 115-A

Request for I	Records Disposition Authority Continuation	JOB NO.	PAGE OF 28 50
7. ITEM NO.	DESCRIPTION OF ITEM	9. SAMPLE OR JOB NO.	10
	1 Record Copy. Transfer to Federal Records Center up compilation of data. Destroy 10 full calendar years after due date (e.g., reports due March 15, 1979 would be destroyed January 1, 1990).		//
	 2 ADP Tape. PERMANENT. Transfer entire to NARS and update annually as stipuled in prior agreements between NARS and EEOC. (e) EEO-4, State and Local Government Inform Report. This report includes information regarding State and Local Government work force as required by Title VII. The date includes number of employees, race, sex, occupation, number of persons included it each category. 	ated the AC-403-76-16-16-16-16-16-16-16-16-16-16-16-16-16	
	 Hard Copy. Microfilm upon receipt of reduction bestroy hard copy of report when microfis verified. Microfilm. Destroy when 10 years old of when no longer needed for reference, where is later. 	film r	,
	Non-microfilmed Reports. Transfer to For Records Center upon compilation of data Destroy 10 full calendar years after didate (e.g., reports due March 15, 1979 would be destroyed January 1, 1990). 4 ADP Tape. PERMANENT. Transfer entire is to NARS and update annually as stipulatin prior agreements between NARS and the	a. ue file ted	3
	(f) EEO-5, Elementary and Secondary Staff Information Report. This report includes information regarding Elementary-Secondar staff work force as required by Title VII The data includes number of employees, rasex, job occupation, number of persons included in each category.	(EE06 4,	(drawy);
115-203	Four copies, including original, to be submitted to the National Arc	hives STANDAD	D FORM 115-A

Request for Records Disposition Authority—Continuation					50
7. ITEM NO.	B. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	29 ACTION).
	Hard Copy. Microfilm upon receipt of report when microfilm verified.		RCS 4/6/1		
	Microfilm. Destroy when 10 years old on no longer needed for reference, whicheve later.		RCS 4/6/a	.	
	Non-microfilmed Reports. Transfer to Fed Records Center upon compilation of data Destroy 10 full calendar years after due.g., reports due March 15, 1979 would destroyed January 1, 1990.	e date	RCS 4/6/3	3	
	4 ADP Tape. PERMANENT. Transfer entire to NARS and update annually as stipulate prior agreements between NARS and the E	ed in	AIC- 403-75-/		
	(g) EEO-6, Higher Education Staff Information This report includes information required Title VII on public and private institution higher education, and is compiled biennial. The EEO-6 requires reporting of employment by race/ethnic categories, sex, length of tract, occupational categories and annual	oy ns of ly. data con-	NC40376-5 item 7 (EEOG 4, item 7)	(mura) j	
	<u>1</u> Hard Copy. Microfilm upon receipt of report when microficerified.		RCS 4/7/1		
	Microfilm. Destroy when 10 year old or no longer needed for reference, whicheve later.		RCS 4/7/2		
	Non-microfilmed Reports. Transfer to Fe Records Center upon compilation of data. Destroy 10 full calendar years after due (e.g., reports due March 15, 1979 would destroyed January 1, 1990).	date	RCS 4/7/3		
	4 ADP Tape. PERMANENT. Transfer entire f to NARS every two year or when no longer needed for current operations, whichever sooner. Update as stipulated in prior a ment between NARS and the EEOC.	is			
	NOTE: This certifies that the records described und item will be microfilmed in accordance with standard forth in FPMR 101-11.504.		_		

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Hequest for Hed	cords Disposition Authority – Continuation	L		30 50
7. ITEM NO.	DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
7.	8. DESCRIPTION OF ITEM	dee- local loyment lata leral (10) lt. for cically of lically o	SAMPLE OR JOB NO.	10.
	(a) Record Copy. (Program Office)	•		
	Transfer to inactive file upon complet or termination of project. Destroy on when five (5) years old.			
	(b) All Other Copies.			
	Destroy on site when no longer needed reference.	for		

Request	for Re	ecord	s Disposition Authority – Continuation	JOB NO.		PAGE OF	
nequest	T		5 Disposition Addition			31	50
7. ITEM NO.		•	DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION T	AKEN
	С.	mach acti mach	ORMATION (ADP) SYSTEMS Documents, including tine-readable data, reflecting the data automativity within the Commission. (Refer to GRS 20 mine-readable files not specifically identifications schedule.)	ation) for			
		(1)	Planning Documents. Descriptive documents to initiate, develop, operate, and maintain specific applications.	required			
			Review files annually. Destroy upon complet of project or when data has served all useful purposes for which it was created.				
	•	(2)	Processing Files. Work files, test data, in output document flow data, publications, and similar operational records. For example, computer tapes and printouts of investigative material used as evidence in court proceeding or validation studies.	l ve			
			Dispose of when no longer needed, or when radata is satisfactorily processed into final reduced data.				
		(3)	Master Files. Specific data file in a systematic a given time.	em at			
			(a) Housekeeping System File. In-house dat such as fiscal accountability, supply management, and payroll administration.	,			
•			<pre>1 Financial Management System. EEOC's financial data.</pre>				
			Dispose of in accordance with Budget Finance Records (see Item 3), or when material no longer serves the purpose which it was created.	the			
			2 All Other Systems.				
-			Dispose of in accordance with the instion applicable to the hard copy, or the material no longer serves the purfor which it was created.	when			
445 000			Four cooles including original to be submitted to the National Ar				

Hequest for Records Disposition Authority—Continuation 32 5	A				
T. R. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods) (b) Statistical Master File. Machine-readable media containing data used to prepare reports covering a limited period of time; recurring periodic surveys and censuses.	Request for Re	cords Disposition Authority—Continuation	JOB NO.		PAGE OF 50
media containing data used to prepare reports covering a limited period of time; recurring periodic surveys and censuses.	7. ITEM NO.	DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)		9. SAMPLE OR JOB NO.	10. ACTION TAKEN
media containing data used to prepare reports covering a limited period of time; recurring periodic surveys and censuses.					
media containing data used to prepare reports covering a limited period of time; recurring periodic surveys and censuses.					
		media containing data used to prepar covering a limited period of time; r	e reports		
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Request	for Records Disposition Aumority – Continuation).	PAGE OF 33 50
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	Complaint Statistical Reporting System (CSRS). Machine-readable records contain ing information on all persons filing complaints with EEOC which allege discrimination based on race, color, religionsex, or national origin in hiring, firing wages, training, apprenticeship, and all other conditions of employment. The system includes the names and addresses of charging parties and respondents, descriptions of complaints, locations of complaints, administrative or legal actions taken regarding complaints, and the resolutions of the complaints. Used as a reporting system by which EEOC district offices report discrimination complaints to Headquarters Office and provides the mechanism through which administrative control and statistics are maintained.	on, g	
	<u>a</u> Edit Cycle. Reformated data (including computer print-out reports) Dispose of every three months.		
	b Master Tapes .		
	PERMANENT. Offer to the National Archives annually. (An. Vol. 3 tapes) <u>c</u> Security Back-Up Files. Mini-masters of charge data maintained in EEOC		
	Dispose of after (2) years.		
13.	COMMISSION RECORDS. Material pertaining to the preparation of decisions for consideration by the Commission; Commission meeting agendas and minutes; incoming and outgoing correspondence of the Commission head and Commissioners; and material submitted by subordinate staff for the attention of the Commission head or Commissioners.	n	
115-203	Four copies, including original, to be submitted to the National Archives	STANDARD	FORM 115-A

Request	for R	secords Disposition Authority – Continuation	JOB NO.		PAGE OF 34 50
7. ITEM NO.		B. DESCRIPTION OF ITEM		9. MPLE OR JOB NO.	10. ACTION TAKEN
	A. GENERAL CORRSPONDENCE. (Arranged alphabetically by subject) Consist of incoming documents addressed to the Chairman or Commissioners and copies of replies thereto, but prepared and maintained by subordinate organizational components; and material not falling into a specific category and which is considered ephemeral to office requirements. All Copies. Break files annually. Destroy upon termination of appointment or when no longer needed for reference, whichever occurs first. B. CHAIRMAN'S CHRONOLOGICAL FILES. Copies of all outgoing communications signed by the Chairman of the Commission, and maintained in the Chairman's Office. PERMANENT. Break files annually. Transfer to Federal Records Center when five (5) years old. Offer to NARS When ten (10) years old, (An. Vol. 2 cu. ft.) in Give (5) year blocks. C. COMMISSION MEETINGS. Files documenting the open and closed Commission meetings, which deal with the policy-making processes of the Commission as provided by 29 CFR 1612. (1) Agenda. (Arranged chronologically by year) Summary of topics to be discussed at the weekly				
		of whether a meeting is open or closed to the public. (An. Vol. 3 cu. ft.) (2) Meeting Minutes. (Arranged chronologically byear) Complete summary of the subjects of cussed at the weekly Commission Meetings, in ing the actions taken, the reason therefor, expressed on any item, and any roll call vot (AN. Vol. 3 cu. ft.)	oy dis- aclud- views		
		(3) Tape Recordings. (Arranged chronologically year) Original tape recordings of Commission meetings (open and closed) as provided by 29 CFR Part 1612. (An. Vol. 50 cassette tape	n		
115_203		Four copies, including original, to be submitted to the National Ar	chlyse	CTANDA DE	FORM 115_A

Request for	or Records Disposition Authority – Continuation	NO.	PAGE OF 35 50
7. ITEM NO.	B. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OR JOB NO.	10. ACTION TAKEN
	(a) Record Copy. (Program Office) PERMANENT. Break files annually. Transto-Foderal Records Center five (5) year after proceedings arising from a meetin completed Offer to NARS fifteen (15) after completion of proceedings or when longer needed for administrative use, whichever occurs first. (Closed meetin files are restricted from public inspect as provided by 29CFR 1612.4) (b) All Other Copies, including related background material. Destroy when one (1) year old, or when longer needed for reference.	g are years no g tion	Dwg 110/79 DMP 119
therewaker	· ·	of all the sfer s from 1 (15)	0/19 9/10/79 DMP 9/10/79
115-203	Destroy with charge files under appropriate disposition authority described in this schedule. Four copies, including original, to be submitted to the National Arc	is	FORM 115-A
115-203	rour copies, including original, to be submitted to the Mational Arc	hives STANDARD	

Request f	or Records Disposition Authority – Continuation	JCNO.	PAGE OF .50
7. ITEM NO.	8. DESCRIPTION OF ITEM (With Inclusive Dates or Retention Periods)	9. SAMPLE OF JOB NO.	10. ACTION TAKEN
	2 Reference Copies.		
	Destroy when no longer needed for re ence.	fer-	
	(2) Non-Precedent Decisions. Files include routin letters of determination, conciliation agreem and pre-determination settlements.	į.	
	(a) <u>Record Copy</u> . (Program Office)		
	Break files annually. Destroy five (5) from date of decision.	years	
	(b) All Other Copies.		
	1 Official Charge File Copy.		
	Destroy with charge files under approdisposition authority described in the schedule.	i i	
	2 Reference Copies.		
	Destroy when no longer needed for refence.	er-	
14.	COMPLIANCE RECORDS. Covers records created in the Commission to carry out its mission to establish and imple policy on eliminating job discrimination, and to enforthe policy through operating activities as required by Title VII of the Civil Rights Act of 1965, as amended	lement rce y	
	A. PLANS AND POLICY. (Arranged alphabetically by subjection, guidance and assistance in the compliant process. Records include guidelines enunciating T. VII related policy, policy interpretations of the equal employment opportunity laws and regulations, Commission rules and regulations published in the Federal Register, and other related matters to training the commission policy.	ce itle	
	(1) Record Copy. (Program Office)		Dur
	PERMANENT. Break files annually. Transfer to the Federal Records Center when five (5) years old Offer to NARS in annual segments fifteen (15) after file break, (An. Vol. 5 cu. ft.)		6/10/79

7. 8. DESCRIPTION OF ITEM	9. 10. ACTION TAKEN
Destroy on site when two (2) years old or when no longer needed for reference, whichever is earlier. B. FIELD OPERATIONS REVIEW. (Arranged alphabetically by subject) Material generated through the monitoring of field management systems or plans to provide timely remedy or resolution of charges; development and refinement of charge processing procedures; and, evaluation of field offices and FEP agencies to ensure quality implementation of the charge processing system. (1) Record Copy. (Program Office) Break files annually. Destroy on site three (3) years after file break. (2) All Other Copies. Destroy on site when one (1) year old or when no longer needed for reference.	
subject) Material generated through the monitoring of field management systems or plans to provide timely remedy or resolution of charges; development and refinement of charge processing procedures; and, evaluation of field offices and FEP agencies to ensure quality implementation of the charge processing system. (1) Record Copy. (Program Office) Break files annually. Destroy on site three (3) years after file break. (2) All Other Copies. Destroy on site when one (1) year old or when no longer needed for reference. C. CHARGES. Records pertaining to the compliance process	
tion; investigation; conciliation with the employer, union, employment agency or labor management apprenticeship programs; compliance review; Commissioner charges; and general material pertaining to discrimination under Title VII, Civil Rights Act. Record copies of documents, including forms and ADP data, created during the compliance process of a discrimination complaint are maintained in the official charge file. (Schedule applies to Headquarters and Field Offices.) (1) General Correspondence. (File alphabetically by subject.) General material (including inquiries) pertaining to discrimination, but not related to a specific case or charge. All Copies. Destroy when six (6) months old.	

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	(2)	Headquarters Subject File. Background paper copies of charges and other supporting docurelating to the compliance process.			
		All Copies.			
		Break file annually. Destroy when three (3) years old.	1		
		Dismissals. (Arranged numerically) Documer relating to dismissals of charges for other no cause, withdrawals, and closures by issure of Notice of Right to Sue upon request. First include charges dismissed for lack of jurist diction, failure to cooperate, failure to locate (including "administrative closure" where charging party not apprised of require to keep EEOC informed of address changes), refusal to accept full relief.	than lance les s-	NN171-166 items 1 and 2; NN-172-41 items 1 and 2; NC1403-76 items 1 and 2; NC1403-78 item 2	-6
		 (a) Transition Quarter (T.Q.) Case Files. Files retained based on the <u>Hall</u> vs. EF N.D., Cal. suit. (case dismissed on July 17, 1978.) <u>1</u> Files already sent to Federal Records shall be destroyed January 1, 1980. 	s Cente:	(HEOG 3, items 1 and 2-1, 2-2) RC S 3/2	·/ı
		2 T.Q. files still remaining in offices be treated as all other dismissal fil			
		(b) All Other Dismissal/Closure Files. Destroy six (6) months following date of closure/dismissal.	of	RCS 3/1/ RCS 3/1/	2 /1
	(4)	Negotiated Settlements. All documents in the charge file.	ıę	NN-171-16 item 4 (EEOC 3 ,	6
		Destroy one (1) year after the expiration of calendar year in which respondent submitted written notice of having satisfied each oblition contained in the contract, or date of signature of the contract, whichever occurs	l _iga-	i tom 4) RCS 3/4	
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	(5) Determination/Decision Files: (a) No Cause. (Arranged by year of close and sequentially by charge number) a documents in the charge file. 1 Transition Quarter (T.Q) Files. project files during period 8/15/9/30/76. (Court case dismissed July 19, 1978) a T.Q. files already sent to Federal Records Center. Destroy four years after last a date, which will be October 1, b T.Q. files still remaining in Commission offices shall be revand mingled with the appropriate category of determination/decision files. Use the appropriate dispatcherity for decision files as	item 3a NC1403- items 3 3-1a an 3-1b (FEOG 3 items 3 and 3-1 RCS 3/ action 1980. viewed te sion cosal	78-2 -1,
	described in this item. 2 Files of Value. No Cause files whare of value in the development of class action or pattern and practifor future cases. Retire to the Federal Records Centone (1) year after last action.	ice	3/la_
	Destroy three (3) years later. 3 All Other No Cause Files. Files had no future value. Destroy one (1) year after the year of the last action.		3/16
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TIEW NO.	. (6)	(b) Cause. All documents in the charge f Landmark Cases. (Filed alphabetica respondent/issue) Record copy of c which state a principle of law and definite in its terms and so gener acquiesced in and act on that it h come to be recognized as the accep rule on a given question, particul where decision is made by a court last resort construing a statute. Item 15B for criteria of landmark PERMANENT. Transfer to nearest Fed Records Center two (2) years after court action. Offer to National A ten (10) years after final court a (An. Vol. 2 cu. ft.) 2 All Other Cause Files. (Arranged b of closure and sequentially by chanumber) Retire to Federal Records Center of year after the year of the last actincluding action in the Federal Cofficial report submitted by the respective conciliation to indicate compliance). Destroy after three (3) additional years. Charge Control Files. Documents used to actions taken and to control charges.	lly by ases is so ally as ted arly of (See cases.) eral final rchives ction; y year rge ne (1) tion, urts e ondent	NN171-166 item 3b i, ii (HEOG-3, item 3-2- i, ii)	2/ ii
		(a) EEOC Form 40A, Charge Control Ledger Filed sequentially by charge number a year. Destroy in agency when no longer needed Retain in control office. (b) EEOC Form 40B (Flimsies), Charge Con Action Memo (Arranged alphabetically Respondent) Destroy upon receipt of latest comput printout generated by the Complaint Statistical Reporting System (CSRS).	For ative RTB 4/24 trol by		
115-203	hadaan taaba ar	Four copies, including original, to be submitted to the National	Archives	STANDARD	FORM 115-A

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	D.	STATE AND LOCAL PROGRAMS. (Filed alphabetically subject) Records pertaining to the cooperative relationships between EEOC and State and Local F Employment Practice Agencies (FEP), which include correspondence between EEOC and FEP agencies, applications for 706 Agency designations, memoral of agreements, contracts, national (706 Agency) funding programs, and other related material generated between EEOC and State and Local Agence	air e nda		
		(1) General Correspondence. Letters, memoranda, relating to routine transactions of official business between EEOC and State and Local FE Agencies (other than material specified else where in this schedule).	P	NC-403- 76-4, Item a (wythdrawn))
		(a) <u>Record Copy</u> . (Program Office)			
		Break file annually. Destroy after thre (3) full calendar years after file brok.	e		
		(b) All Other Copies.			
		Destroy when one (1) year old or when no longer needed for reference, whichever i earlier.	1		
		(2) Contract/Project File. (Arranged alphabetic by agency) Documents regarding State and Loc Agencies receiving or applying for EEOC fund to assist in the implementation of their programs to eliminate discrimination. Recor consist of applications for 706 Agency designations, requests for proposals, corresponde (including memoranda of understanding) with the Fair Employment Agencies (706 Agencies), detailing their services and accomplishments staffing and funding requirements; and other related material. (See Item 4A for procureme contract files.)	al s ds - nce	NC-403- 76-4, Islem 1 (withdrawn)	
		(a) Record Copy. (Program Office)			
		Transfer to Federal Records Center three (3) years after contract/project has bee executed. Destroy after ten (10) calenda years.	n		

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TIEM NO.	(b) All Other Copies Destroy when two (2) years old. E. DISCRIMINATION TECHNIQUES RECORDS. (Arranged alp cally by subject.) Documents generated in identify and remedying discrimination in employment patter practices (systemic activities), which include reson the compilation of respondent policies and pronational standards for selecting subjects for systemic proceedings; statistical data used in analyzing ement practices of designated and/or potential resolved for cases); and other related material to elimin discrimination features of employment systems. (Indeed files on the processing of chargese Items 14C and 15.) (1) Systemic Selection Standards File. (Arranged alphabetically by issue) Records generated identifying and selecting potential subjects systemic proceedings. (a) Record Copy. (Program Office) Break files annually. Retire to Federal Records Center when three (3) years old. Destroy when six (6) years old. (b) All Other Copies Destroy when one (1) year old. (2) Respondent Investigative Files. (Arranged alphabetically by Respondent.) Documents assembled in the investigation of employment discrimination practices and are the evidence by which the Commission processes a charge. (a) Record Copy. (Program Office) Transfer to Federal Records Center one year after final resolution of the case Destroy five (5) years from date of transfer to Federal Records Center one year after final resolution of the case Destroy five (5) years from date of transfer to ADP tapes).	ying ns/ cords cedures temic employ- spondent ate this ses, in s for	NC-403- 76-3; Items 1,2,3 ; (withdraw)	

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	(b) All Other Copies. 1 Official Charge/Case File Copy. Consol tion of charge file and headquarters systemic case file. Use disposal authority for the appropricategory of charge files described in Items 14C or 15B. 2 Reference Copies.			
	Destroy when no longer needed for reference. F. SPECIAL PROJECTS AND PROGRAMS. (Arranged alphabeti by subject.) Documents generated in formulating, implementing and monitoring specialized enforcement programs to eliminate discrimination in the private sector. Files include special projects and program which monitor the activities of and coordinate with external groups and specific constituencies, such craft and industrial unions, bar associations, educational institutions and minority organizations; material pertaining to the encouragement and assist to the private sector in effectively implementing affirmative action programs and policies; and related to the private sector in effectively implementing affirmative action programs and policies; and related to the private sector in effectively implementing affirmative action programs and policies; and related to the private sector in effectively implementing affirmative action programs and policies; and related to the private sector in effectively implementing affirmative action programs and policies; and related to the private sector in effectively implementing affirmative action programs and policies; and related to the private sector in effectively implementing affirmative action programs and policies; and related to the private sector in effectively implementing affirmative action programs and policies; and related to the private sector in effectively implementing affirmative action programs and policies; and related to the private sector in effectively implementing affirmative action programs and policies; and related to the private sector in effectively implementing affirmative action programs and policies; and related to the private sector in effectively implementing affirmative action programs and policies.	nt ce nms ch as i-	NN172-4, Items 1, 3-7	
	(1) Record Copy. (Program Office) Break files annually. Transfer to Federal Recorder when two (2) years old. Destroy when five (5) years old. (2) All Other Copies. Destroy when one year old or when no longer net for reference, whichever occurs first. G. GOVERNMENT EMPLOYMENT PROGRAMS (Arranged alphabeting subject.) Documents generated in planning, developed and implementing programs which will facilitate as by Federal, State and Local governments and educating institutions to bring their employment policies in compliance with the Civil Rights Act as amended an Rehabilitation Act as amended. Files include EEO metals and an anticlude EEO metals.	eeded ically eloping ctions tional nto nd the		

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	affirmative action planning and programming in Federal, State and Local governments and public educational institutions, affirmative action plan for employment and placement of the handicapped aged in the Federal Government, and related reporactivities. (1) EEO General Files. (Arranged alphabetically	and rting			
	subject.) General correspondence, plans, procedures and related records concerning all as of the equal employment opportunity programs Title VII of the Civil Rights Act, as amended and Section 501 of the Rehabilitation Act, as amended.	spects of d,			
	(a) Record Copy. (Program Office)				
	Break files annually. Destroy when three years old or when material is obsolete or superseded, whichever occurs first.				
	(b) All Other Copies.				
	Destroy when no longer needed. (2) EEO Affirmative Action Plans. (AAP) (Arrang geographically and by name of agency) Plans submitted by Federal, State and Local government and public educational institutions, and correspondence relating to the Commission's review and approval of individual plans.				
	(a) <u>Record Copy</u> . (Program Office) Break files annually. Transfer to Federa Records Center when (2) years old. Dest when five (5) years old.	. ,			
	(b) All Other Copies.				
	Destroy when one (1) year old.				

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	LEGAL AND LEGISLATIVE RECORDS. This schedule covers records consisting of litigation matters to which the Commission is a party or in which it is involved; legopinions and interpretations; court rulings; claims; Congressional activities; and legislation proposed by or in the interest of the Commission.	gal		
	A. LEGAL (1) General Correspondence Files. (Arranged alphabetically by subject) Correspondence, reports forms, and other records relating to the admintion and operation of legal activities but expecific files described elsewhere in this sci (See Items 10E and 10F for FOI Records and Pract Records.) (a) Record Copy	s, nistra- cluding hedule.	NC-403-70- Hem 5 (with NC1403-77 item 4 (EEOG-2, item+)	haraun);
	Break files annually. Destroy when three years old. (b) All Other Copies. in agency Destroy, when no longer needed for reference			
	 (2) Opinions and Interpretations. (Arranged alphalcally by subject) (a) External Requests. One complete set of allopinions issued on laws, rules and regula as they affect Title VII, Civil Rights Act 1964, as amended or the agency. File inclopinions on race, color, sex, national or religion. It also includes supporting dathe original request for the opinion and related materials. 	l tions' t of udes igin, ta,	NC1403-77 item 1; item 1; item 1) NC-403- 76-1, Item 2 (with- drawn)	7-1
	1 Record Copy. (Program Office) PERMANENT. Retire to Federal Records C when two (2) years old. Offer to Nation Archives when ten (10) years old. (An. 2 cu. ft.)		RCS 2/1/ u blocks.	Dur 9/10/2

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	2 All Other Copies.		RCS 2/1/2	
	(b) Internal Requests. Requests to the General Counsel for opinions and interpretations fr Commission offices on various administrative subjects (e.g. the Hatch Act, conflicts of interest, or other internal legal matters.)	om e		
	<pre>1 Record Copy. (Program Office) Break files annually. Destroy when three years old.</pre>	(3)	NC-403- 76-1, Idem 3 (withdrawn)	
	2 All Other Copies.			
	Destroy when one (1) year old or when no longer needed, whichever occurs first.			
	(3) Claims Case Files. (Arranged alphabetically) Clase files consisting of reports, witness state decisions, and other records related to, by or against the government resulting from personal injury, property damage, tort claims, and accident claims, and other business transactions of the agency. Excluded are records pertaining to claims in litigation, these are filed in the appropriate litigation files.	ements, lent	NC1403-77 item 5 (HEOG 2; item 5)	-1
•	(a) Record Copy. (Program Office)		Rcs 2/s/	1
	Break files annually. Destroy seven (7) yea after final disposition of case.	ırs		
	(b) All Other Copies.			
	Destroy when no longer needed for reference			
	B. <u>LITIGATION</u> . Material pertaining to court litigating which includes court rules, court dockets, briefs orders, court decisions, Section 706 cases, Section cases, state cases in discrimination litigation, other legal court matters. (See item 12C(2) for computer tapes and printouts of investigative materials.)	on 707 and		
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	(1) General Litigation Files. (Arranged alphabetically by issue/subject.) Litigation records initiated by or coordinated with other offices of the Commission in which the Office of the General Counsel has an interest. Includes consent decrees motions, protecting orders, etc. (This file excludes material which becomes a part of the official charge/case file.)	NC-403- 76-1, Islam 4 (withdraw	~)
	(a) Record Copy. (General Counsel) Break files annually. Destroy when three (3) years old.	Converse	LON WITOM ECC VIGTO SMEARILL
	(b) All Other Copies.		
	Destroy when no longer needed for reference.		
	(2) EEOC Defendant Case File. (Arranged alphabetically by name) Records of the litigation process in which EEOC is the defendant. Included are motions interrogatories, briefings, consent decrees, final orders of the court, and other related legal documents.	item 2/hi+	: <i>1</i>
	(a) *Landmark Cases. Record copy of cases that are of continuing value for future processing.	RCS 2/2	/1/ ii
	PERMANENT. Retire to Federal Records Center two (2) years after final court action. Offer to the National Archives ten (10) years after final court action, (An. Vol. 2 cu. ft.) in five (5) year blocks. (b) All Other EEOC Defendant Case Files.		DW glidya
	1 Record Copy.	/-	1.1.
	Retire to Federal Records Center two (2) years after final court action. Destroy seven (7) years after final court action.	RCS 2/2/	y i
	2 All Other Copies.		
	Destroy on site when no longer needed for reference.		
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(3 * Landm		ically NC1403-7 item 3 (EEOC 2, item 3-1 it, ii and 3-2) item 3-1 item 3-2 item 3-2 item 3-1 item 3-2	action taken -1,210m 1; 7-1 1/ii 2 nissal of yours
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